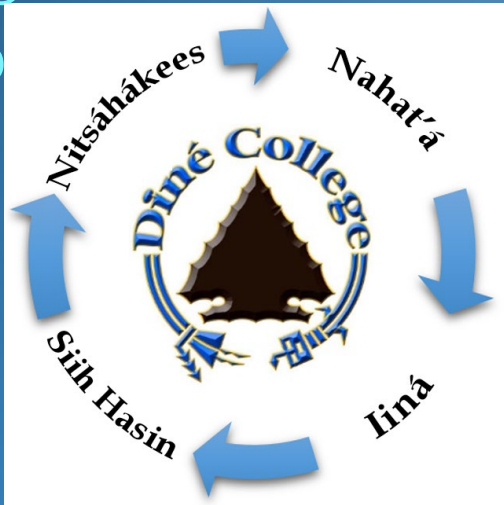


The background features a blue-to-yellow gradient. On the left and right sides, there are decorative circuit-like patterns consisting of thin blue lines and small circles, resembling a stylized electronic board.

NAVAJO NATION FISCAL RECOVERY FUND OFFICE

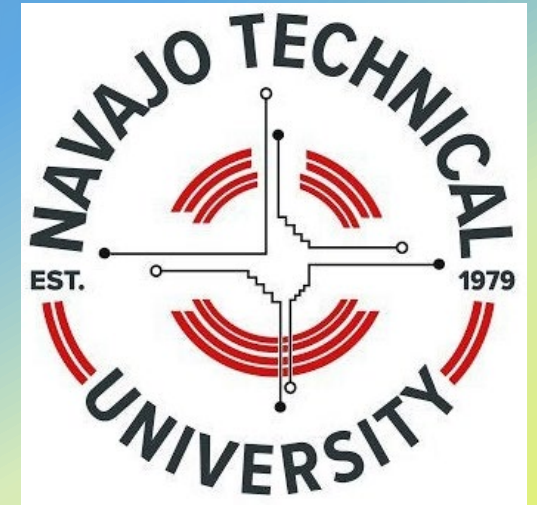
WHERE DO I START AND HOW DO I APPLY?

SA'AH NAAGHAI BIK'EH HOZHOO



“The philosophy provides principles both for protection from the imperfections in life and for the development of well-being.”

Dine' College Website – [Home](#)>[About](#)>[Educational Philosophy](#)



The SNBH Paradigm is used by our own two higher educations as their Dine' Educational Philosophy.

THINKING PROCESS – NI'TSI'HA'KEES

- 1. What is ARPA? American Rescue Plan Act of 2021- The Nation must submit spending plans to the federal government to meet the federal guidance and timelines.**
- 2. What projects are we in need of within our chapter? Expenditure Category...**
- 3. Why abide by the Expenditure Category? Audit!**
- 4. What empowerment do you show from your chapter?**

WHAT DO I APPLY FOR?

THIS IS THE EXPENDITURE CATEGORY



U.S. DEPARTMENT OF THE TREASURY

Appendix 1: Expenditure Categories

Treasury's final rule provides greater flexibility and simplicity for recipients to fight the pandemic and support families and businesses struggling with its impacts, maintain vital services amid revenue shortfalls, and build a strong, resilient, and equitable recovery. As such, recipients will report on a broader set of eligible uses and associated Expenditure Categories ("EC"), starting with the April 2022 Project and Expenditure Report. The table below includes the new Expenditure Categories, as well as a reference to previous Expenditure Categories used for reporting under the interim final rule.

The Expenditure Categories (EC) listed below must be used to categorize each project as noted in Part 2 above. The term "Expenditure Category" refers to the detailed level (e.g., 1.1 COVID-19 Vaccination). When referred to as a category (e.g., EC 1) it includes all Expenditure Categories within that level.

*Denotes areas where recipients must identify the amount of the total funds that are allocated to evidence-based interventions (see Use of Evidence section above for details)

^Denotes areas where recipients must report on whether projects are primarily serving disproportionately impacted communities (see Project Demographic Distribution section above for details)

WHAT DO I APPLY FOR?

THIS IS THE EXPENDITURE CATEGORY

Expenditure Category	EC ²⁷	Previous EC ²⁸
1: Public Health		
COVID-19 Mitigation & Prevention		
COVID-19 Vaccination^	1.1	1.1
COVID-19 Testing^	1.2	1.2
COVID-19 Contact Tracing^	1.3	1.3
Prevention in Congregate Settings (Nursing Homes, Prisons/Jails, Dense Work Sites, Schools, Child care facilities, etc.)**	1.4	1.4
Personal Protective Equipment^	1.5	1.5
Medical Expenses (including Alternative Care Facilities)^	1.6	1.6
Other COVID-19 Public Health Expenses (including Communications, Enforcement, Isolation/Quarantine)^	1.7	1.8
COVID-19 Assistance to Small Businesses^	1.8	-
COVID 19 Assistance to Non-Profits^	1.9	-
COVID-19 Aid to Impacted Industries^	1.10	-
Community Violence Interventions		
Community Violence Interventions**	1.11	3.16
Behavioral Health		
Mental Health Services**	1.12	1.10
Substance Use Services**	1.13	1.11
Other		
Other Public Health Services^	1.14	1.12
Capital Investments or Physical Plant Changes to Public Facilities that respond to the COVID-19 public health emergency	-	1.7
2: Negative Economic Impacts		
Assistance to Households		
Household Assistance: Food Programs**	2.1	2.1
Household Assistance: Rent, Mortgage, and Utility Aid**	2.2	2.2
Household Assistance: Cash Transfers**	2.3	2.3

WHAT DO I APPLY FOR?

THIS IS THE EXPENDITURE CATEGORY

Expenditure Category	EC ²⁷	Previous EC ²⁸
Household Assistance: Internet Access Programs ^{^A}	2.4	2.4
Household Assistance: Paid Sick and Medical Leave ^A	2.5	-
Household Assistance: Health Insurance ^{^A}	2.6	-
Household Assistance: Services for Un/Unbanked ^{^A}	2.7	-
Household Assistance: Survivor's Benefits ^A	2.8	-
Unemployment Benefits or Cash Assistance to Unemployed Workers ^{^A}	2.9	2.6
Assistance to Unemployed or Underemployed Workers (e.g. job training, subsidized employment, employment supports or incentives) ^{^A}	2.10	2.7
Healthy Childhood Environments: Child Care ^{^A}	2.11	3.6
Healthy Childhood Environments: Home Visiting ^{^A}	2.12	3.7
Healthy Childhood Environments: Services to Foster Youth or Families Involved in Child Welfare System ^{^A}	2.13	3.8
Healthy Childhood Environments: Early Learning ^{^A}	2.14	3.1
Long-term Housing Security: Affordable Housing ^{^A}	2.15	3.10
Long-term Housing Security: Services for Unhoused Persons ^{^A}	2.16	3.11
Housing Support: Housing Vouchers and Relocation Assistance for Disproportionately Impacted Communities ^{^A}	2.17	-
Housing Support: Other Housing Assistance ^{^A}	2.18	3.12
Social Determinants of Health: Community Health Workers or Benefits Navigators ^{^A}	2.19	3.14
Social Determinants of Health: Lead Remediation ^{^A}	2.20	3.15
Medical Facilities for Disproportionately Impacted Communities ^A	2.21	-
Strong Healthy Communities: Neighborhood Features that Promote Health and Safety ^A	2.22	-
Strong Healthy Communities: Demolition and Rehabilitation of Properties ^A	2.23	-
Addressing Educational Disparities: Aid to High-Poverty Districts ^A	2.24	3.2
Addressing Educational Disparities: Academic, Social, and Emotional Services ^{^A}	2.25	3.3
Addressing Educational Disparities: Mental Health Services ^{^A}	2.26	3.4
Addressing Impacts of Lost Instructional Time ^A	2.27	-
Contributions to UI Trust Funds ^A	2.28	2.8
Assistance to Small Businesses		
Loans or Grants to Mitigate Financial Hardship ^A	2.29	2.9
Technical Assistance, Counseling, or Business Planning ^{^A}	2.30	-
Rehabilitation of Commercial Properties or Other Improvements ^A	2.31	-
Business Incubators and Start-Up or Expansion Assistance ^{^A}	2.32	-
Enhanced Support to Microbusinesses ^{^A}	2.33	-
Assistance to Non-Profits		
Assistance to Impacted Nonprofit Organizations (Impacted or Disproportionately Impacted) ^A	2.34	2.10
Aid to Impacted Industries		
Aid to Tourism, Travel, or Hospitality ^A	2.35	2.11
Aid to Other Impacted Industries ^A	2.36	2.12
Other		
Economic Impact Assistance: Other ^{^A}	2.37	2.13
Household Assistance: Eviction Prevention ^{^A}	-	2.5
Education Assistance: Other ^{^A}	-	3.5
Healthy Childhood Environments: Other ^{^A}	-	3.9
Social Determinants of Health: Other ^{^A}	-	3.13

Expenditure Category	EC ²⁷	Previous EC ²⁸
3: Public Health-Negative Economic Impact: Public Sector Capacity		
General Provisions		
Public Sector Workforce: Payroll and Benefits for Public Health, Public Safety, or Human Services Workers	3.1	1.9
Public Sector Workforce: Rehiring Public Sector Staff	3.2	2.14
Public Sector Workforce: Other	3.3	-
Public Sector Capacity: Effective Service Delivery	3.4	7.2
Public Sector Capacity: Administrative Needs	3.5	-
4: Premium Pay		
Public Sector Employees	4.1	4.1
Private Sector: Grants to Other Employers	4.2	4.2
5: Infrastructure		
Water and Sewer		
Clean Water: Centralized Wastewater Treatment	5.1	5.1
Clean Water: Centralized Wastewater Collection and Conveyance	5.2	5.2
Clean Water: Decentralized Wastewater	5.3	5.3
Clean Water: Combined Sewer Overflows	5.4	5.4
Clean Water: Other Sewer Infrastructure	5.5	5.5
Clean Water: Stormwater	5.6	5.6
Clean Water: Energy Conservation	5.7	5.7
Clean Water: Water Conservation	5.8	5.8
Clean Water: Nonpoint Source	5.9	5.9
Drinking water: Treatment	5.10	5.10
Drinking water: Transmission & Distribution	5.11	5.11
Drinking water: Lead Remediation, including in Schools and Daycares	5.12	5.12
Drinking water: Source	5.13	5.13
Drinking water: Storage	5.14	5.14
Drinking water: Other water infrastructure	5.15	5.15
Water and Sewer: Private Wells	5.16	-
Water and Sewer: IJJA Bureau of Reclamation Match	5.17	-
Water and Sewer: Other	5.18	-
Broadband		
Broadband: "Last Mile" projects	5.19	5.16
Broadband: IJJA Match	5.20	-
Broadband: Other projects	5.21	5.17
6: Revenue Replacement		
Provision of Government Services	6.1	6.1
Non-federal Match for Other Federal Programs	6.2	-
7: Administrative		
Administrative Expenses	7.1	7.1
Transfers to Other Units of Government	7.2	7.3
Transfers to Non-entitlement Units (States and territories only)	-	7.4

BREAKDOWN EXPENDITURE CATEGORY

- **Eliminate those that do not pertain to your chapter's needs.**
- **Start Highlighting the HIGHLIGHTS coming from your community.**
- **Understand the difference of EC27 and EC28.**

WHY USE THE EXPENDITURE CATEGORY?

THE ARPA FUNDS ARE FEDERAL MONIES AND THEY CAN AND WILL AUDIT WHEN NEEDED. THE EXPENDITURE CATEGORY MAKES THE AUDITING EASIER FOR THE GOVERNMENT TO RUN THEIR AUDITS.



CHAPTER LEVEL EMPOWERMENT

- **What is Empowerment for the Chapter Houses?**

- Economic Empowerment
- Cultural Empowerment
- Societal Empowerment
- National Empowerment
- Political Empowerment



MOST NEEDED EMPOWERMENT

- Breaking Down your Empowerments of your Chapter:

- Economic? Cultural? Societal? National? Or Political Empowerment?

1. Public Health

2. Negative Economic Impacts

3. Public Health-Negative Economic
Impact: Public Sector Capacity

4. Premium Pay

5. Infrastructure

6. Revenue Replacement

7. Administrative

PLANNING PROCESS – NA’HA’TAH

1. Final decisions on the Expenditure Category you would like to **encumber**.
2. “How to Apply” on our website, you will find fillable PDFs for your needs.
www.frf.Navajo-nsn.gov
3. Understanding what type of Chapter or Entity you are.
4. Find and Download your Appendix A linked to your Chapter type or Entity.
5. Plan out the Cost and try to round up to your allocation.
6. Download Appendix J File to Plan out your Start and End Date.
7. DO NOT forget to include your Chapter Resolutions & all additional documents linked back to your Expenditure Category Code.

LIVING PROCESS – IINA'

- A Completed Packet will be Submitted to Department of Justice (DOJ). Including the Appendix J. Download on our Website at

www.frf.Navajo-nan.gov

- Attach all necessary documents & DO NOT forget to attach your **Chapter Resolution** at the end of the Packet.
- Retrieve signatures from DCD (Division of Community Development).
- Review by NNFRFO => Attach DOJ Form and Submit to DOJ.
- DOJ determines the eligibility.

EVALUATION PROCESS - SIHASIN

- **PROPOSED Regional Expenditure Plans GO Through Three (3) Reviews!**

- 1. Navajo Nation Division of Community Development (NND CD) – Ensures packets are completed with signatures on Appendix A & Budget Forms; ensures Chapter Resolutions for all projects. NND CD finalize packets and reviews all are in order before being Forwarded to NNFRFO.**
- 2. Navajo Nation Fiscal Recovery Fund Office (NNFRFO) – Reviews all Documents for Completion. NNFRFO works with Chapters to Finalize all required documents before being Forward to NNDOJ.**
- 3. Navajo Nation Department of Justice – ensures completion of all packets & provides Final ARPA/NNFRF Eligibility Determination. IF there are questions, packets get returned for further documentation. IF ALL IN ORDER; an ARPA/NNFRF Eligibility Determination Letter is issued.**

EVALUATION PROCESS - SIHASIN

- **DOJ documents back to FRF office. Inform Chapter if eligible or not.**
- **If Approved, proceed; Not Approved, second chance of corrections and resubmit.**
- **All Projects go to Legislative before the Council => Review and Approved?**
- **For all Approved Legislation will have a 5 day Review Period by the Legislative.**
- **The President's Office will also review to Approve or Not; to become Law.**

EVALUATION PROCESS - SIHASIN

- **Navajo Nation Office of Management & Budget along with Navajo Nation Office of the Controller will issue the Business Unit for each projects.**
- **Then the Chapters may proceed with their Projects.**
- **FRF Office will Monitor the Projects into the next couple of years.**

IMPORTANT DAYS TO REMEMBER!

- **March 31, 2024 – Can be reallocated for direct Financial Assistance.**
- **June 30, 2024 – Can be reallocated for direct Financial Assistance 10% - FRF**
- **September 30, 2024 – Unallocated amounts revert to FRF(FMIS)**
- **September 30, 2024 – LGA Certified Chapters**
- **30 Days after end of FY – OOC and Responsible Entities to provide a written report.**
- **December 31, 2024 – FRF incurred and Obligated Projects.**
- **2025 – 30 Days after end of FY. OOC & Responsible Entities to provide a written report.**
- **September 30, 2026 – LGA Certified Chapters**
- **2026 – 30 Days after end of FY OOC & Responsible Entities to provide a written report.**
- **December 31, 2026 - LGA Certified Chapters.**
- **January 2027 – Any Unspent funds must be returned to the U.S. Treasury.**

Navajo Nation Fiscal Recovery Fund Office

Website:

www.frf.Navajo-nsn.gov

Phone:

(928)309-5532